

1615



Attorney's Docket No. 2762 C1

TECH CENTER
SEP 9 2003
1615

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Edgren et al.

Serial No: 09/657,446

Filed: 9/8/00

For: EXTENDED RELEASE DOSAGE FORM

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) Group Art Unit: 1615
)
) Examiner: Fubara, Blessing
)
)
)

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications and other information of which they are aware, which they believe an examiner may consider to be material to the patentability of the claims of this application, and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may contain "material" information pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is either "material" or "prior art" to the invention disclosed and claimed in the above-referenced application unless specifically designated as such. Applicants specifically reserve the right, where appropriate, to avoid or antedate any such reference by the appropriate arguments or showings under 37 C.F.R. § 1.131 and § 1.608, or any other appropriate means.

A completed PTO Form 1449 listing each reference which is not a U.S. Patent application is submitted herewith, and it respectfully requested that an Examiner initialed copy of the PTO Form 1449 be returned to the undersigned.

☐ A copy of each reference therein listed on PTO Form 1449 (Part A) accompanies this Information Disclosure Statement.

-OR-

☒ NO copies of the references therein listed ON PTO form 1449 (Part B) accompany this Information Disclosure Statement under 37 C.F.R. § 1.98(d), as each was previously cited by or submitted to the

Patent Office in prior application Serial No. 09/249,700 issued on June 12, 2001 as US Patent 6,245,357 filed February 12, 1999, which this application relies upon for an earlier filing date under 35 U.S.C. § 120.

This Information Disclosure Statement is not a representation that a search has been made or that no other information material to the patentability of this invention exists.

- ☐ This Information Disclosure Statement is being filed within three months of the filing or entry of the national stage of this application, or before the mailing of a first Office Action on the merits, whichever is later. No fee is required under 37 C.F.R. § 1.97(b). However, in the event that more than 90 days from filing have elapsed and a first Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the fee required under 37 C.F.R. 1.17(p) to Deposit Account Number 10-0750.
- ☒ This Information Disclosure Statement is being submitted *after* three months from the date of filing or entry of the national stage or this application, or *after* the mailing of a first Office Action, whichever is later, but *before* the mailing date of either a final Office Action or a Notice of Allowance.
- ☒ The Commissioner is hereby authorized to charge the fee of \$180.00 required under 37 C.F.R. § 1.17(p) to Deposit Account 10-0750
- ☒ The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment to Deposit Account 10-0750
- OR-**
- ☐ Certification as specified in 37 C.F.R. § 1.97(e) follows:

☐ *Each* item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of this statement.

☐ *No* item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to

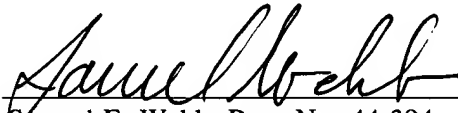
the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Those patent(s) or publication(s) which marked with an asterisk (*) on the attached Form PTO-1449 are not supplied. Complete bibliographic information is unknown or unavailable. The cited publications are books or references manuals and are commonly available. Reproduction of such publications would result in a voluminous submission.

The Examiner is hereby requested to consider these references and other information and make them of record in the above-referenced application. Applicants respectfully submit that the claims of this application are patentable over the above-cited references and information. Examination and allowance of this application at an early date are requested.

Respectfully submitted,


Date: September 3, 2003

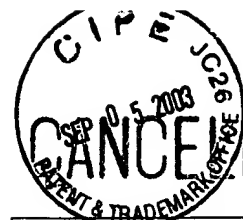
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313 on September 3, 2003.
ALZA CORPORATION

BY: 
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INFORMATION DISCLOSURE CITATION

PTO-1449

ATTORNEY'S DOCKET NO.:

APPLICATION NO.:

ARC 2762 R1

APPLICANT:

EDGREN, David E., et al.

FILING DATE:

GROUP:

US PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	3,133,132	05/12/64	Loeb S., et al.	264	49	11/29/60
	3,173,876	03/16/65	Zobrist, J. C.	252	137	05/27/60
	3,276,586	10/04/66	Rosaen, N.O.	210	90	08/30/63
	3,541,005	11/17/70	Strathmann, H., et al.	210	19	02/05/69
	3,546,876	12/15/70	Fokker, H., et al.	60	24	10/18/68
	3,845,770	11/05/74	Theeuwes, F., et al.	128	260	06/05/72
	3,916,899	11/04/75	Theeuwes, F., et al.	128	260	02/07/74
	4,008,719	02/22/77	Theeuwes, F., et al.	128	260	02/02/76
	4,014,334	03/29/77	Theeuwes, F., et al.	128	260	02/02/76
	4,058,122	11/15/77	Theeuwes, F., et al.	128	260	12/15/76

FOREIGN DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages Etc.)

	Handbook of Common Polymers, by Scott, J. R., and Roff, W. J., 1971, CRC Press, Cleveland, OH
	Modern Plastics Encyclopedia, Vol. 46, pp. 62-70 (1969)
	Pharmaceutical Sciences, by Remington, 14 th Ed., pp. 1626-1648 (1970), published by Mack Publishing Co., Easton, PA

EXAMINER:

DATE CONSIDERED:

Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

~~TECH CENTER 1600/2900~~
~~ATTORNEY~~

INFORMATION DISCLOSURE CITATION PTO-1449	ATTORNEY'S DOCKET NO.: ARC 2762 R1	APPLICATION NO.:
	APPLICANT: EDGREN, David E., et al.	
	FILING DATE:	GROUP:

US PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	4,063,064	12/13/77	Saunders, R. J., et al.	219	121	08/23/76
	4,088,864	05/09/78	Theeuwes, F., et al.	219	121	02/23/76
	4,116,241	09/26/78	Theeuwes, F., et al.	128	260	12/15/76
	4,160,020	07/03/79	Ayer, A. D., et al.	424	15	12/27/77
	4,160,452	07/10/79	Theeuwes, F., et al.	128	260	04/07/77
	4,200,098	04/29/80	Ayer, A. D., et al.	128	260	10/23/78
	4,285,987	08/25/81	Ayer, A. D., et al.	427	3	11/01/79
	4,327,725	05/04/82	Cortese, R., et al.	128	260	11/25/80
	4,612,008	09/16/86	Wong, P.S.-L., et al.	604	892	12/21/84
	4,783,337	11/08/88	Wong, P. S.-L., et al.	424	468	09/29/86

FOREIGN DOCUMENTS

[illegible]

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages Etc.)

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INFORMATION DISCLOSURE CITATION

PTO-1449

ATTORNEY'S DOCKET NO. **TECH CENTER 1009,2500** APPLICATION NO.:

ARC 2762 R1

APPLICANT:
EDGREN, David E., et al.

FILING DATE:

GROUP:

US PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	4,863,456	09/05/89	Stephens, S.L., et al.	604	892	02/08/88
	4,902,514	02/20/90	Barclay, B. L., et al.	424	473	07/21/88
	5,160,744	11/03/92	Jao, F., et al.	424	473	06/27/91

FOREIGN DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages Etc.)

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